



ISO 45001 – Key Themes - Your questions answered.

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— OUR — PURPOSE

IS TO HELP
CUSTOMERS
DELIVER PRODUCTS
THE WORLD CAN

TRUST



NEVER STOP IMPROVING



1000+
EMPLOYEES
WORLDWIDE



100%
ALL INCLUSIVE
—FEES—



OPERATING
COUNTRIES



AVERAGE
CUSTOMER
PARTNERSHIP



43,000
CERTIFICATES
GLOBALLY



CLIENTS WE WORK WITH

NQA works with a number of clients both globally and locally. From single standard to integrated management system clients or from single site organisations to multisite multinational corporations. NQA is able to understand, service and support each individual client.



NEVER STOP IMPROVING

“ NQA’s approach is practical and proactive. Our auditor understands our business. ”

GLENMORANGIE



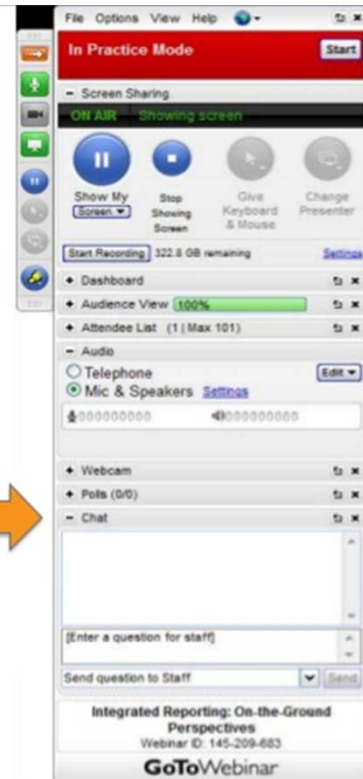
NQA’S PRICE PROMISE

- We don’t charge travel expenses for audits within the UK
- We don’t charge hidden costs like certification fees or admin fees
- We don’t increase audit time for transitions unless necessary
- We don’t require a mandatory gap analysis audit prior to transition
- We don’t charge premium prices
- We will provide all-inclusive and transparent prices
- We will deliver excellent customer service
- We will provide added value through our audits and reports
- We will ensure our auditors are technically competent for your industry
- We will provide FOC Transition support material

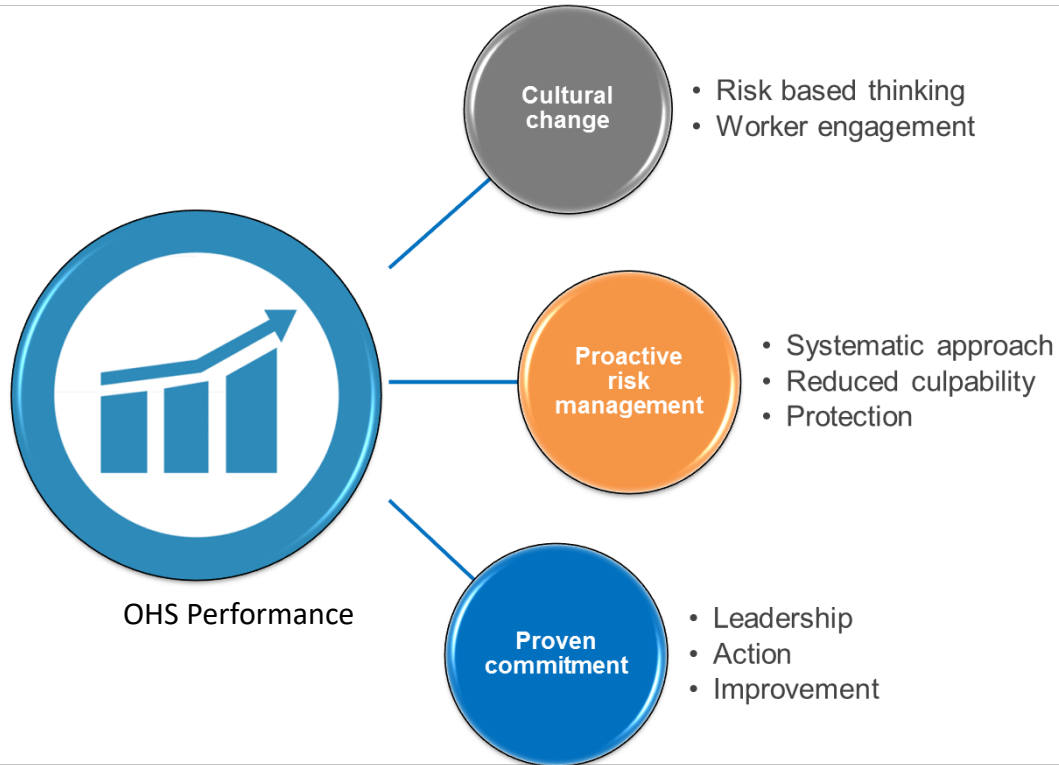
HOUSEKEEPING

A copy of the slides and the recording of the presentation will be sent to all registrants following the webinar.

If you have any questions please write them into the 'Chat' box and I will endeavour to answer them at the end of this discussion.



WHY IMPLEMENT ISO 45001?



ISO 45001 STRUCTURE

1. **Scope**
2. **Normative References**
3. **Terms and Definitions**
4. **Context of the Organization**
5. **Leadership**
6. **Planning**
7. **Support**
8. **Operation**
9. **Performance Evaluation**
10. **Improvement**



Webinar Objectives

Throughout 2020 (and before) NQA ran a series of webinars related to the migration of 18001 to 45001 and these resulted in a host of questions from the audience.

In this webinar, we have gathered those themes and questions together to support clients and try and clarify the issues raised.

This webinar will develop as questions are put forward, and we approach the withdrawal date for 18001 – which is September 2021.

From that point OHSAS 18001:2007 will no longer exist as a registration scheme, and certificates will not be valid. To maintain a registered conformity to an OHS system you must migrate to 45001:2018



Your Questions on 45001 – Emergency preparedness & response

- **Question; Would 8.2 (Emergency preparedness and response) apply to suppliers (8.1.4 / Procurement clause) ?**
- **Response;** *You may ask your suppliers about their Emergency response plans, especially related to higher risk operations or service provision – In these times, this may also relate to the suppliers ability to provide the service or products (PPE for example). You must consider appropriately the potential impact on your system*
- *Business / Supply Continuity may also be a consideration. – COVID / Brexit*
- **Remember;** *45001 looks at controlling your conformity to your management system and therefore, as an example, the review of Risk assessments / Method statements prior to placing the order would be appropriate especially relating to service provision / contractors (work being done under your instruction / control on your site) – Why; to confirm you are confident in what is to be done, and how the safety implications (hazards) are controlled including potential emergency situations.*



Your Questions on 45001 – Context of the organisation

Question:

Do you feel a website (About Us) would provide enough evidence for context?

Response; *No – not as a stand alone piece of evidence – it may assist readers but it is unlikely to provide the information on risks, opportunities, strategy and culture that would be required for the system.*

Question:

Ref Section 4 "Context". Where does Context align with PDCA?

Response; *I would say in the planning – as you need to understand who, what, and why you are doing the activity / or attempting to meet that need.*



Your Questions on 45001 – Context of the organisation

Question: Does the boundary of the scope need to specify boundaries? If so, are these the boundaries of the company, or boundaries of their markets?

Response; *The system scope shall include the activities, products and services within the organisations control or influence, which can impact on the OHS performance. Therefore the scope may contain statements of applicability to operations, services and locations.*

For example; The system scope is; ‘All operations undertaken at the ?????? site including Sales, Manufacturing & Distribution’.

Or it may state ‘Manufacturing & Distribution’ only if sales are conducted at another location.

Question: Is the scope of the Management System and the certification scope issued by NQA the same thing?

Response; *Yes & No - The documented scope of the system may be descriptive and be several sentences long to relate to the context. Whereas the scope of registration as used on a certificate is generally a brief statement - but it must concisely reflect the organisation.*

*They can also be identical – dependent on the organisation. **See the example on the previous question?***



Your Questions on 45001 – Leadership / Commitment

Question; Clause 5.1k - Reprisals. How do you audit that?

***Response;** One way to audit this is to have a discussions relating to recent incidents / reports and talk to the workers that are raising concerns eg; Union representatives / worker representatives and operators. Corrective actions and incident investigations can also be used to indicate the Leadership and Culture of the organisation.*



Your Questions on 45001 – Management Review

Question; For Management review meetings, can the items for the meetings be split up to be covered in several meetings throughout the year? Or do they have to be covered in one meeting?

Response; *Management Review 9.3 requirements do not need to be addressed all at once. For further information in the Annexe of 45001:2018 section A9.3*



Your Questions on 45001 – Corrective Actions

Question; Do you still do Preventative action?

Response; *The term is no longer used in the 45001 management standard, as the whole of the management system is regarded as being a proactive system. Clients are free to use their preferred terms but the key element is 'action'.*

Question; Can you use your corrective action process if tasks slip and operational control is seen to be less effective than it should be?

Response; *Yes – most certainly, however remember to assess the actions prior to implementation, as they could create new and different risks/ hazards – See also 'Management of Change' clause 8.1.3.*



Your Questions on 45001 – Worker consultation & participation

Question: If you have a 9001/14001, the only extra area you'll need to focus on is more engagement (consultation/participation)?

Response; *No – absolutely not. There are a range of similarities in structure of the management standards/systems but 45001 requires these to be relevant to Occupational Health & Safety. Therefore the requirements of 45001 are specific to this discipline. For consultation/ participation – all management system standards require effective Communication.*

Question; How would you determine the adequate level of worker participation in defining the procedures?

Response; *You may determine in procedures the frequency and types of activities to be undertaken, including attendance requirements etc. However you can only evaluate the adequacy / effectiveness of this over time, related to feedback and information received and reviewed.*



Your Questions on 45001 – 45001 Implementation

Question; Is there any evidence that 45001 is more costly to operate than 18001 and if so are there any figures available eg +10%, -5% etc.

Response; *No – not at all. Costs are dependent on the organisation's nature, scale, operations and current status – It also relates as to what you mean by costs; External assessments, Internal costs, resources required to maintain a safe and effective operational system?*

I would say that integration with existing operational systems and controls is an investment and will delivery improved efficiencies and effectiveness.

Question; How long in your opinion would you consider it takes for an SME to implement and achieve 45001?

Response; *That all depends on the individual organisation's, commitment, resources and reasons for implementation.*



Your Questions on 45001 – 45001 Implementation

Question; Can you please give any hints on how we can combine three systems as ISO 9001, ISO 14001 and ISO 45001 in one manual?

Response; *NQA have and are having webinar sessions on this – so using the common Annexe SL structure is the starting point. Use evaluation techniques such as Gap analysis etc. to understand where you are now – be systematic and don't reinvent the wheel.*

Question; How management of change (MoC) could be used and accelerate implementing migration?

Response; *I think the MoC enables efficient use of time and resources, which may provide a shorter timescale, but also consider that it may be better to implement it once, as effectively as you can, rather than rushing in!*



Your Questions on 45001 – 45001 Implementation & the future

Question; Is it better for a 3rd party to undertake a Evaluation of compliance audit?

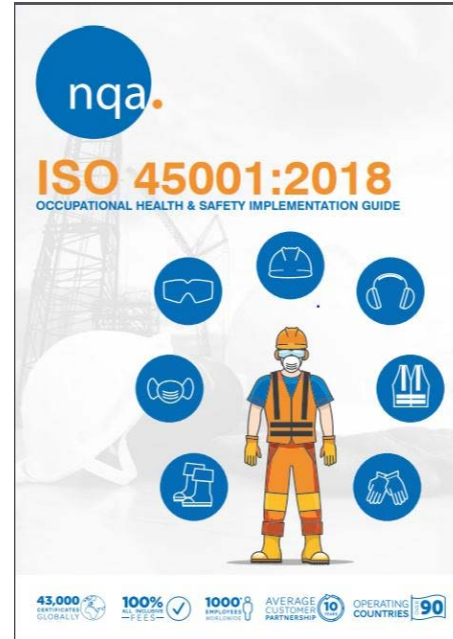
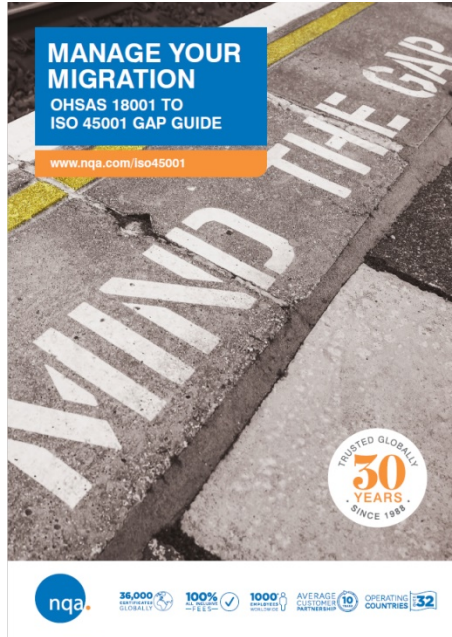
Response; *No not necessarily – Evaluation of compliance may well be undertaken, in part by routine monitoring and controls. External resource can aid this evaluation and they can provide an impartial evaluation. Compliance to legal and other requirements is a fundamental element and deliverable of the management standard as defined in the policy.*

Question; How soon do you believe that ISO will integrate 9001, 14001 and 45001 into one common standard? There were some indications that this could be as soon as year 2022.

Response; *I don't think it will be in that short a time frame – standards can and do take years to develop on a global platform.*



NQA GUIDANCE DOCUMENTS



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