



# ISO 55001:2024 CLIENT GAP ANALYSIS TOOL

## Instructions for use:

This gap analysis document provides a simple framework for evaluating your Asset Management System against the requirements of ISO 55001:2024.

Please complete each table by recording the evidence acquired from one full internal audit against the requirements of ISO 55001:2024. If you are unable to provide evidence of compliance, you may not be ready to complete the transition to ISO 55001:2024. In this case, please inform NQA that you need additional time to prepare for the transition – we will work with you to select a mutually agreeable date to complete the transition.

**Please ensure that this completed document and internal audit records are available to your auditor at the opening meeting of your transition audit.**

Sections marked as **(Assessor to Complete)** will be completed by the assessor during the transition audit.

Client name:

Completion date:

Certificate Number:

## Updated and new clauses

Tip: Ensure that these new concepts have been deployed in a manner that supports the Process Approach and Risk Based Thinking.

## 4. Context of the Organization

Clause(s)	Clause Name	Details of the amendments	
4.1	Understanding the organization and its context	<p>The organization shall determine external and internal issues that are relevant to its purpose and that affect its ability to achieve the intended result(s) of its asset management system.</p> <p>The organization shall determine whether climate change is a relevant issue.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
4.2	Understanding the needs and expectations of stakeholders	<p>The organization shall determine:</p> <p>a) the stakeholders that are relevant to the asset management system;</p> <p>b) the relevant requirements of these stakeholders;</p> <p>c) which of these requirements will be addressed through the asset management system;</p> <p>d) the impacts of assets and asset management activities on stakeholders, as well as their significance to the organizational objectives</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments
4.3	Determining the scope of the asset management system	<p>The organization shall determine the boundaries and applicability of the asset management system to establish its scope.</p> <p>When determining this scope, the organization shall consider:</p> <ul style="list-style-type: none"> <li>a) the external and internal issues referred to in 4.1;</li> <li>b) the requirements referred to in 4.2;</li> <li>c) the interaction with other management systems.</li> </ul> <p>The organization shall determine the asset portfolio covered by the scope of the asset management system.</p> <p>The scope shall be available as documented information. The scope shall include details of the asset portfolio covered</p>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments
4.4	Asset management system	<p>The organization shall establish, implement, maintain and continually improve an asset management system, including the processes needed and their interactions, in accordance with the requirements of this document.</p>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments
4.5.1 (NEW)	Asset management decision-making - Framework	<p>The organization shall establish and apply a framework for asset management decision-making that is appropriate to the type, size and complexity of the organization. The decision-making framework shall be used to:</p> <ul style="list-style-type: none"> <li>• define and determine the value that the organization aims to derive from its assets by applying the asset management system;</li> <li>• define the criteria to be used for asset management decision-making to achieve the determined value.</li> </ul> <p>When establishing and applying the framework, the organization shall take into account:</p> <ul style="list-style-type: none"> <li>a) the organization’s context, organizational objectives and external and internal issues;</li> <li>b) stakeholders’ requirements;</li> <li>c) the scope of the asset management system that determines the range of decisions to be made about assets, asset management and the asset management system;</li> <li>d) risks and opportunities</li> </ul>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
4.5.3 (NEW)	Asset management decision-making - Methods, processes and tools	<p>The framework used for making asset management decisions shall be used by the organization to determine and select appropriate methods, processes and tools, considering the necessity to:</p> <p>a) deal with options to deliver the greatest value over the asset life cycle or other relevant horizons;</p> <p>b) deal with risks and opportunities and how these can change with time;</p> <p>c) have confidence in information;</p> <p>d) support governance for appropriate, timely decision-making.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

## 5. Leadership

Clause(s)	Clause Name	Details of the amendments	
5.1	Leadership and commitment	<p>Top management shall demonstrate leadership and commitment with respect to the asset management system by:</p> <p>a) ensuring that the asset management policy, asset management system scope, strategic asset management plan (SAMP) and asset management objectives are established and are compatible with the strategic direction of the organization and its organizational objectives;</p> <p>b) ensuring the integration of the asset management system requirements into the organization's business processes;</p> <p>c) ensuring that the resources needed for the asset management system are available.</p> <p>d) communicating the importance of effective asset management and of conforming to the asset management system requirements;</p> <p>e) ensuring that the asset management system achieves its intended result(s);</p> <p>f) directing, raising awareness of and supporting persons to contribute to the effectiveness of the asset management system;</p> <p>g) promoting continual improvement;</p> <p>h) supporting other relevant roles to demonstrate their leadership as it applies to their areas of responsibility;</p> <p>i) ensuring the asset management decision-making criteria are established and used and are aligned with the organizational objectives;</p> <p>j) approving the asset management policy, asset management system scope and the SAMP.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>



Clause(s)	Clause Name	Details of the amendments	
5.2	Policy	<p>Top management shall establish an asset management policy that:</p> <ul style="list-style-type: none"> <li>a) is appropriate to the purpose of the organization;</li> <li>b) provides a framework for setting asset management objectives;</li> <li>c) includes a commitment to meet applicable requirements;</li> <li>d) includes a commitment to continual improvement of the asset management system;</li> <li>e) is consistent with other relevant organizational policies.</li> </ul> <p>The asset management policy shall:</p> <ul style="list-style-type: none"> <li>• be available as documented information;</li> <li>• be communicated within the organization;</li> <li>• be available to stakeholders, as appropriate</li> </ul>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
5.3	Organizational roles, responsibilities and authorities	<p>Top management shall ensure that the responsibilities and authorities for relevant roles are assigned and communicated within the organization.</p> <p>Top management shall assign the responsibility and authority for:</p> <ul style="list-style-type: none"> <li>a) ensuring that the asset management system conforms to the requirements of this document;</li> <li>b) reporting on the performance of the assets, asset management and the asset management system to top management and relevant stakeholders.</li> </ul>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

## 6. Planning

Clause(s)	Clause Name	Details of the amendments	
6.1	Actions to address risks and opportunities for the asset management system	REMOVED	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
6.1.1 (NEW)	Actions to address risks and opportunities - General	<p>When planning for the asset management system, the organization shall consider the issues referred to in 4.1 and the requirements referred to in 4.2 and determine the risks and opportunities that need to be addressed to:</p> <p>a) give assurance that assets, asset management and the asset management system can achieve their intended result(s);</p> <p>b) prevent, or reduce, undesired effects;</p> <p>c) achieve continual improvement.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
6.1.2 (NEW)	Actions to address risks and opportunities - Actions to Address risk	<p>The organization shall establish processes for the assessment of risks related to assets, asset management and the asset management system, including for:</p> <ul style="list-style-type: none"> <li>• the identification of risks;</li> <li>• the analysis of risks and how they change over time;</li> <li>• the evaluation of risks;</li> <li>• determining the criticality of assets in achieving asset management objectives;</li> <li>• considering alternatives and deciding on preferred options to treat risks.</li> </ul> <p>The organization shall ensure that its risks related to assets, asset management and the asset management system are considered in the organization's risk management approach including contingency planning.</p> <p>The organization shall plan:</p> <p>a) actions to address, treat, monitor and communicate risks related to assets, asset management and the asset management system, taking into account how these risks can change with time;</p> <p>b) how to:</p> <ul style="list-style-type: none"> <li>• integrate and implement the actions into its asset management system processes;</li> <li>• evaluate the effectiveness of these actions.</li> </ul> <p>Documented information shall be available on these planned actions and their implementation and evaluation.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>



Clause(s)	Clause Name	Details of the amendments	
6.1.3 (NEW)	Actions to address risks and opportunities - Actions to Address Opportunities	<p>The organization shall establish processes to:</p> <ul style="list-style-type: none"> <li>• identify, gather, collect or capture opportunities;</li> <li>• decide on the opportunities to be addressed to improve performance of the assets, asset management and the asset management system.</li> </ul> <p>NOTE: Opportunities can be identified from analysis of issues under 4.1 and stakeholder requirements under 4.2 and deviations from expected intended results, cause analysis, risk analysis, review of unplanned events, innovation, continual improvements, internal or external audit findings or management review. The organization shall plan:</p> <p>a) actions to address the opportunities that have been decided on, taking into account how these opportunities can change with time;</p> <p>b) how to:</p> <ul style="list-style-type: none"> <li>• integrate and implement the actions into its asset management system processes;</li> <li>• evaluate the effectiveness of these actions.</li> </ul> <p>Documented information shall be available on these planned actions and their implementation and evaluation</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
6.2.1	Asset management objectives/ 2024 - Strategic asset management plan (SAMP)	<p>The organization shall develop a SAMP that contains the strategies and approaches for decision-making and the implementation and alignment of asset management activities.</p> <p>The SAMP shall:</p> <ol style="list-style-type: none"> <li>be consistent with the asset management policy;</li> <li>include the asset management decision-making framework and require application of the defined and determined value to be achieved;</li> <li>determine the asset management objectives as well as the approach to be used to achieve them;</li> <li>determine the process to identify capabilities needed to achieve the asset management objectives;</li> <li>detail time frames relevant to the achievement of the SAMP;</li> <li>detail contingencies for the achievement of the SAMP and approaches to address them;</li> <li>determine approaches for improving the asset management system, asset management and assets.</li> </ol> <p>The organization shall ensure that the SAMP is aligned with its financial and other resource plans and outlines how that is achieved.</p> <p>The SAMP shall be made available as documented information and shall be periodically reviewed and updated.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
6.2.2	Planning to achieve asset management objectives/ 2024 - Asset management objectives	<p>The organization shall establish asset management objectives at relevant functions and levels.</p> <p>When establishing its asset management objectives, the organization shall consider:</p> <ul style="list-style-type: none"> <li>its current and future internal and external context ;</li> <li>risks and opportunities;</li> <li>the condition, performance and capabilities of its assets.</li> </ul> <p>The asset management objectives shall:</p> <ol style="list-style-type: none"> <li>be consistent and aligned with the organizational objectives;</li> <li>be determined to realize value; c) be consistent with the asset management policy;</li> <li>be measurable (if practicable);</li> <li>take into account applicable requirements (see 4.2);</li> <li>be monitored;</li> <li>be communicated to relevant stakeholders;</li> <li>be reviewed and updated as appropriate;</li> <li>be available as documented information.</li> </ol>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
6.2.3 (NEW)	Planning to achieve asset management objectives	<p>The organization shall establish and maintain (an) asset management plan(s) to achieve the asset management objectives. Asset management plans shall be aligned with the asset management policy and the SAMP.</p> <p>The plan(s) shall be:</p> <ul style="list-style-type: none"> <li>aligned and integrated with other organizational planning activities, including financial, human resources and other support functions;</li> <li>developed using the decision-making framework;</li> <li>made available as documented information.</li> </ul> <p>When planning how to achieve its asset management objectives, the organization shall determine:</p> <ol style="list-style-type: none"> <li>what will be done;</li> <li>what resources will be required;</li> <li>who will be responsible;</li> <li>when it will be completed, considering appropriate time horizons;</li> <li>how the results will be evaluated;</li> <li>requirements for documented information;</li> <li>the processes and methods to be employed in managing its assets over their life cycles;</li> <li>the financial and non-financial implications of the asset management plan(s);</li> <li>the review period for the asset management plan(s).</li> </ol>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
6.3 (NEW)	Planning of changes	<p>When the organization determines the need for changes to the assets, asset management, or asset management system, the changes shall be carried out in a planned manner.</p> <p>The organization shall assess the risks associated with any planned temporary or permanent changes that can have an impact on achieving the asset management objectives, before the change is implemented.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

## 7. Support

Clause(s)	Clause Name	Details of the amendments	
7.2	Competence	<p>The organization shall:</p> <ul style="list-style-type: none"> <li>a) determine the necessary competence of person(s) doing work under its control that affects its asset performance, asset management performance and asset management system performance;</li> <li>b) ensure that these persons are competent on the basis of appropriate education, training, or experience;</li> <li>c) where applicable, take actions to acquire the necessary competence, and evaluate the effectiveness of the actions taken;</li> <li>d) undertake a competence assessment, at appropriate frequency, to validate that the current required competencies are still appropriate and available;</li> <li>e) periodically review the need to update competency requirements.</li> </ul> <p>Appropriate documented information shall be available as evidence of competence.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
7.3	Awareness	<p>Persons doing work under the organization's control, who can have an impact on the achievement of the asset management objectives, shall be aware of:</p> <ul style="list-style-type: none"> <li>a) the asset management policy;</li> <li>b) their contribution and responsibility to the effectiveness of the asset management system, including the benefits of improved asset management performance;</li> <li>c) the implications of not conforming to the asset management system requirements;</li> <li>d) their work activities, the associated risks and opportunities and how they relate to each other.</li> </ul> <p>The organization shall evaluate the effectiveness of the activities used to ensure awareness of asset management.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>



Clause(s)	Clause Name	Details of the amendments
7.4	Communication	<p>The organization shall determine the need for internal and external communications and engagement relevant to assets, asset management and the asset management system including:</p> <p>a) on what it will communicate;  b) when to communicate;  c) with whom to communicate;  d) how to communicate.</p> <p>The organization shall consult internal and external stakeholders when determining its need for communications, and when evaluating their effectiveness.</p> <p>Appropriate documented information shall be available as evidence of the communications needed and on their effectiveness.</p>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments
7.5	Information requirements	<b>REMOVED</b>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments
7.6.1	Documented information - General	<p>The organization's asset management system shall include:</p> <p>a) documented information as required by this document;  b) documented information determined by the organization as being necessary for the effectiveness of the asset management system;  c) documented information for applicable legal and regulatory requirements.</p> <p>NOTE The extent of the documented information for an asset management system can differ from one organization to another due to:</p> <ul style="list-style-type: none"> <li>• the size of organization and its type of activities, processes, products and services;</li> <li>• the complexity of processes and their interactions;</li> <li>• the competence of persons;</li> <li>• the volume, diversity, complexity and criticality of the asset(s) and asset system(s).</li> </ul> <p>The requirements for creation and control of documented information in 7.5.2 and 7.5.3 also apply to documented data and documented knowledge, as needed by the organization.</p>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments
7.6 (NEW)	Data and information	<p>The organization shall:</p> <p>a) determine the data and information needed to support asset management;</p> <p>NOTE 1: Asset management activities for which data and information can be relevant, include decision-making, risk management, meeting legal, regulatory and other stakeholders' requirements, life cycle management and performance reporting.</p> <p>b) establish data and information specifications that include attributes, units of measurement, quality, and source;</p> <p>c) develop a plan for the collection, integration, quality improvement, and sharing of data and information;</p> <p>The organization shall determine and implement the requirements for:</p> <ul style="list-style-type: none"> <li>processes for data and information management;</li> </ul> <p>NOTE 2: Processes can include acquisition, transformation, storage, control, sharing, archival and deletion of information over the life cycle stages of an asset.</p> <ul style="list-style-type: none"> <li>sharing information with stakeholders;</li> <li>alignment, consistency and traceability of information and terminology between the financial and non-financial functions within the organization; where differences are necessary this shall be made available as documented information and communicated.</li> </ul>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments
7.7 (NEW)	Knowledge	<p>The organization shall determine the knowledge needed to operate the asset management system.</p> <p>The organization shall put in place processes for:</p> <ul style="list-style-type: none"> <li>utilizing available knowledge;</li> <li>ensuring that knowledge is readily accessible at the time of decision-making;</li> <li>retaining existing knowledge;</li> <li>acquiring new knowledge; and</li> <li>handling outdated knowledge.</li> </ul> <p>When addressing changing requirements and trends, the organization shall consider its current knowledge and determine how to update, develop, acquire, or access any necessary additional knowledge.</p>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>



## 8. Operation

Clause(s)	Clause Name	Details of the amendments
8.1	Operational planning and control	<p>The organization shall plan, implement and control the processes including life cycle processes, needed to meet requirements, and to implement the actions determined in Clause 6, the asset management plan(s) determined in 6.2, and actions determined in Clause 10 by:</p> <p>a) establishing criteria for the required processes;            b) implementing the control of the processes, in accordance with the criteria c) monitoring and treating risks using the approach specified in 6.1.2;            d) monitoring and implementing opportunities using the approach specified in 6.1.3;            e) ensuring that the opportunities, risks, costs, and performance are managed to realize value across the asset life cycle within the organization's period of responsibility.</p> <p>NOTE 6: Where an organization's period of responsibility is shorter than the asset life cycle (or in case of service providers, the client's period of responsibility), it can be necessary to take the required state of the asset into account.</p>
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>
		<input type="text"/>
Clause(s)	Clause Name	Details of the amendments
8.2	WAS: Management of change NOW: <b>Control of change</b>	<p>The organization shall control planned changes and review the unintended consequences of changes, taking action to mitigate any adverse effects, as necessary.</p>
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>
		<input type="text"/>
Clause(s)	Clause Name	Details of the amendments
8.3	WAS: Outsourcing NOW: <b>Externally provided processes, products, technologies and services</b>	<p>The organization shall ensure that externally provided processes, technologies, products, services or activities, that are relevant to the asset management system are controlled, taking into account the associated risks and opportunities and are integrated into the organization's asset management system.</p> <p>The organization shall determine:</p> <p>a) the processes, technologies, products, services or activities that are externally provided, including the scope and boundaries of these, as well as their interfaces with the organization's own processes, technologies, products, services or activities;            b) the responsibilities and authorities within the organization for managing the externally provided processes, technologies, products, services or activities;            c) the processes and scope for the sharing of knowledge and information between the organization and its external provider(s).</p> <p>The organization shall ensure that externally provided processes, technologies, products, services or activities:</p> <ul style="list-style-type: none"> <li>• meet the relevant requirements of Clauses 7 and 8;</li> <li>• are monitored in accordance with 9.1;</li> <li>• are aligned with the SAMP and the asset management plans in 6.2.</li> </ul>
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>
		<input type="text"/>

## 9. Performance Evaluation

Clause(s)	Clause Name	Details of the amendments
9.1	Monitoring, measurement, analysis and evaluation	<p>The organization shall determine:</p> <ul style="list-style-type: none"> <li>a) what needs to be monitored and measured related to meeting stakeholder requirements and the performance of the assets, asset management and the asset management system;</li> <li>b) the methods for monitoring, measurement, analysis and evaluation, as applicable, to ensure valid results;</li> <li>c) when the monitoring and measuring shall be performed;</li> <li>d) when the results from monitoring and measurement shall be analysed and evaluated.</li> </ul> <p>Documented information shall be available as evidence of the results of monitoring, measurement, analysis and evaluation.</p> <p>The organization shall evaluate the performance of the assets and asset management, and the effectiveness of the asset management system and their contributions to achievement of organizational objectives.</p> <p>The organization shall report this to relevant stakeholders.</p> <p>The organization shall evaluate and report on the effectiveness of the processes for managing risks and opportunities.</p>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments
9.2.2	Internal audit	<p>The organization shall plan, establish, implement and maintain (an) audit programme(s), including the frequency, methods, responsibilities, planning requirements and reporting.</p> <p>When establishing the internal audit programme(s), the organization shall consider the importance of the processes concerned and the results of previous audits.</p> <p>The organization shall:</p> <ul style="list-style-type: none"> <li>a) define the audit criteria and scope for each audit;</li> <li>b) select auditors and conduct audits to ensure objectivity and the impartiality of the audit process;</li> <li>c) ensure that the results of the audits are reported to relevant management;</li> <li>d) ensure that the findings of audits are implemented as appropriate. Documented information shall be available as evidence of the results of the implementation of the audit programme and the audit results.</li> </ul>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments
9.3	Management review	<b>REMOVED</b>

Evidence to support compliance (Client to complete)	Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
9.3.1 (NEW)	General	Top management shall review the organization's asset management system, at planned intervals, to ensure its continuing suitability, adequacy and effectiveness.	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/>	No <input type="checkbox"/>
<input type="text"/>		<input type="text"/>	

Clause(s)	Clause Name	Details of the amendments	
9.3.2 (NEW)	Management review inputs	The management review shall include: a) the status of actions from previous management reviews; b) changes in external and internal issues that are relevant to the asset management system; c) changes in stakeholders and in needs and expectations of stakeholders that are relevant to the asset management system; d) information on the asset management performance, including trends in: <ul style="list-style-type: none"> <li>• nonconformities and corrective actions;</li> <li>• monitoring and measurement results;</li> <li>• audit results;</li> </ul> e) changes in the profile of risks and opportunities; f) evaluation of the effectiveness of the decision-making framework in enabling the achievement of the asset management objectives; g) opportunities for continual improvement, and changes in the potential of improvement opportunities.	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/>	No <input type="checkbox"/>
<input type="text"/>		<input type="text"/>	

Clause(s)	Clause Name	Details of the amendments	
9.3.3 (NEW)	Management review results	"The results of the management review shall include decisions related to continual improvement opportunities, the adequacy of resources and any need for changes to the assets, asset management and asset management system.  Documented information shall be available as evidence of the results of management reviews"	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/>	No <input type="checkbox"/>
<input type="text"/>		<input type="text"/>	

# 10. Improvement

Clause(s)	Clause Name	Details of the amendments	
10.1	<b>WAS: Nonconformity and corrective action</b> <b>NOW: Continual improvement</b>	The organization shall continually improve the suitability, adequacy and effectiveness of the assets, asset management and asset management system in providing value to the organization.	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>

Clause(s)	Clause Name	Details of the amendments	
10.2	<b>WAS: Preventive action</b> <b>NOW: Non conformity and corrective action</b>	When a nonconformity or incident occurs in its assets, asset management or asset management system, the organization shall: <ul style="list-style-type: none"> <li>a) react to the nonconformity or incident, and as applicable:                             <ul style="list-style-type: none"> <li>• take action to control and correct it;</li> <li>• deal with the consequences;</li> </ul> </li> <li>b) evaluate the need for action and available options to eliminate the cause(s) of the nonconformity or incident, in order that it does not recur or occur elsewhere, by:                             <ul style="list-style-type: none"> <li>• reviewing the nonconformity or incident;</li> <li>• determining the causes of nonconformity or incident;</li> <li>• determining if similar nonconformities exist, or can potentially occur;</li> </ul> </li> <li>c) implement any action needed;</li> <li>d) review the effectiveness of any corrective or preventive action taken;</li> <li>e) make changes to the assets, asset management and asset management system, if necessary.</li> </ul> Corrective or preventive actions shall be appropriate to the effects of the (potential) nonconformities or incidents encountered. <p>Documented information shall be available as evidence of:</p> <ul style="list-style-type: none"> <li>• the nature of the nonconformities or incidents and any subsequent actions taken;</li> <li>• the results of any corrective action or prevention action</li> </ul>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input type="text"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="text"/>



Clause(s)	Clause Name	Details of the amendments	
10.3	<b>WAS: Continual improvement</b> <b>NOW: Predictive Action</b>	<p>The organization shall establish processes to predict the need for decisions relating to assets, asset management and the asset management system. In establishing these processes, the organization shall consider the need to:</p> <ul style="list-style-type: none"> <li>a) determine the occurrences and impacts of both non-conformities in, and opportunities for improvement of assets, asset management and the asset management system;</li> <li>b) determine the optimal intervention points, e.g. for maintenance, renewal, replacement and disposal of assets;</li> <li>c) identify the inputs needed to:               <ul style="list-style-type: none"> <li>• determine the relationship of asset condition to performance, opportunity, risk and cost;</li> <li>• determine asset depreciation and financial value.</li> </ul> </li> </ul> <p>When a potential nonconformity or opportunity is determined, the organization shall apply the requirements in 10.2 and integrate these actions in its asset management planning.</p> <p>The organization shall report to relevant stakeholders on the long-term effect from decisions made based on predictive actions on assets and asset management performance.</p>	
Evidence to support compliance (Client to complete)		Has the Client demonstrated that they have met the requirements of this clause? (Assessor to complete)	Comments if required (Assessor to complete)
<input style="width: 100%; height: 100%;" type="text"/>		Yes <input type="checkbox"/>	No <input type="checkbox"/>  <input style="width: 100%; height: 100%;" type="text"/>



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